



## Planning Independence?

We can guide you every step of the way.

Call us at 866-513-4042

### **A** AdvisorAssist Difference

#### Experience

- \* Industry Leaders
- \* Depth of Knowledge

#### Service

- \* Personalized Solutions
- \* Hands-on Approach

#### Results

- \* Innovative Strategies
- \* Complete Solutions
- \* Flawless Execution

Where Advisors turn for Advice.

AdvisorAssist™

Focus on what's  
most important...  
**your Clients.**

### How to Contact Us

#### AdvisorAssist

P.O. Box 1384  
Portsmouth, NH 03802

[www.AdvisorAssist.com](http://www.AdvisorAssist.com)

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[Advisors@AdvisorAssist.com](mailto:Advisors@AdvisorAssist.com)

### Leverage our Series 65 Professionals to jumpstart your RIA firm.

If you are considering starting an RIA but need to focus on your transition or current employment, AdvisorAssist can help. Our professionals can establish your RIA for you to your unique specifications while you continue your transition planning or other business activities. When you are ready to start, we'll hand you the keys to your new RIA.

With the **AdvisorAssist RIA QuickStart™** solution, you get a cost-effective solution to efficiently get your new advisory firm from concept to "live" by leveraging our experienced Series 65 professionals.

### The AdvisorAssist RIA QuickStart™ solution includes:

- \* Business and regulatory consultation
- \* Complete state and/or SEC registration of your Firm and Principals
- \* Complete Compliance Program design
- \* Customized Written Supervisory Procedures
- \* Customized Client Advisory Contract
- \* Customized Investment Policy Statement
- \* Access to AdvisorAssist Compliance Corner™
- \* Periodic newsletters and compliance updates via email

### Optional services include:

- \* Custodian selection
- \* Establishment of back office
- \* Investment due diligence
- \* RIA Partnership opportunities
- \* Ongoing regulatory support through **AdvisorAssist Advantage™** program
- \* Marketing and branding support

Call us at 866-513-4042 and speak to one of our experienced principals for pricing and to see if **AdvisorAssist RIA QuickStart™** is the right solution for you.

### About AdvisorAssist™

**AdvisorAssist™** is a service of **MainStay Consulting Group**, an independent management consulting firm focused on providing financial services firms with comprehensive services to meet their ongoing business, regulatory and information technology challenges. We provide end-to-end solutions, as needed, to assist our clients from strategy through implementation.

Our principals have extensive experience in the financial services industry having served in executive roles for many industry-leading organizations, including investment advisors, broker-dealers, public accounting firms, management consulting practices and third-party service providers.